

Regulations and Guidance issued by Disciplinary Committee to support Disciplinary Scheme

As at 10 June 2025

Regulation Title	Version	Effective Date	Review Date
General Disciplinary	1.3	2 December 2024	1 August 2026
FRC	1.1	1 November 2023	1 August 2026
Interim Order	1.1	1 November 2023	1 August 2026
Disciplinary Order	1.1	1 November 2023	1 August 2026
Case Report and Adjudication Panel	1.2	9 April 2025	1 August 2026
Independent Reviewer	1.1	1 November 2023	1 August 2026
Disciplinary Tribunal Panel	1.1	1 November 2023	1 August 2026
Capacity for Membership	1.1	1 November 2023	1 August 2026
Readmission	1.1	1 November 2023	1 August 2026
Appeals	1.1	1 November 2023	1 August 2026
Appointments	1.1	1 November 2023	1 August 2026

Guidance Title	Version	Effective Date	Review Date
Adjustments to the Disciplinary Process	1.0	1 August 2023	1 August 2026
Adverse Inferences	1.0	1 August 2023	1 August 2026
Appointment of Panels, Independent Reviewers and Appeals Assessors	1.0	1 August 2023	1 August 2026
Assessment of Complaints	1.1	1 November 2023	1 August 2026
Capacity for Membership	1.0	1 August 2023	1 August 2026
Costs	1.0	1 August 2023	1 August 2026
Disciplinary Orders	1.0	1 August 2023	1 August 2026
Disclosure	1.0	1 August 2023	1 August 2026
Diversity, Equity and Inclusion*	1.0	1 August 2023	1 August 2025
Expert Witnesses	1.0	1 August 2023	1 August 2026
Publication	1.0	1 August 2023	1 August 2026
Sanctions	1.0	1 August 2023	1 August 2026
Standard and Burden of Proof	1.0	1 August 2023	1 August 2026
Support for Vulnerable Witnesses	1.0	1 August 2023	1 August 2026
Timeframes	1.1	2 December 2024	1 August 2026
Virtual and In Person Hearings	1.0	1 August 2023	1 August 2026
Writing Determinations and Orders	1.0	1 August 2023	1 August 2026

*Because of this guidance being entirely new for the IFoA disciplinary process, the Disciplinary Committee have decided to review it after two years, rather than the three years set for other guidance.