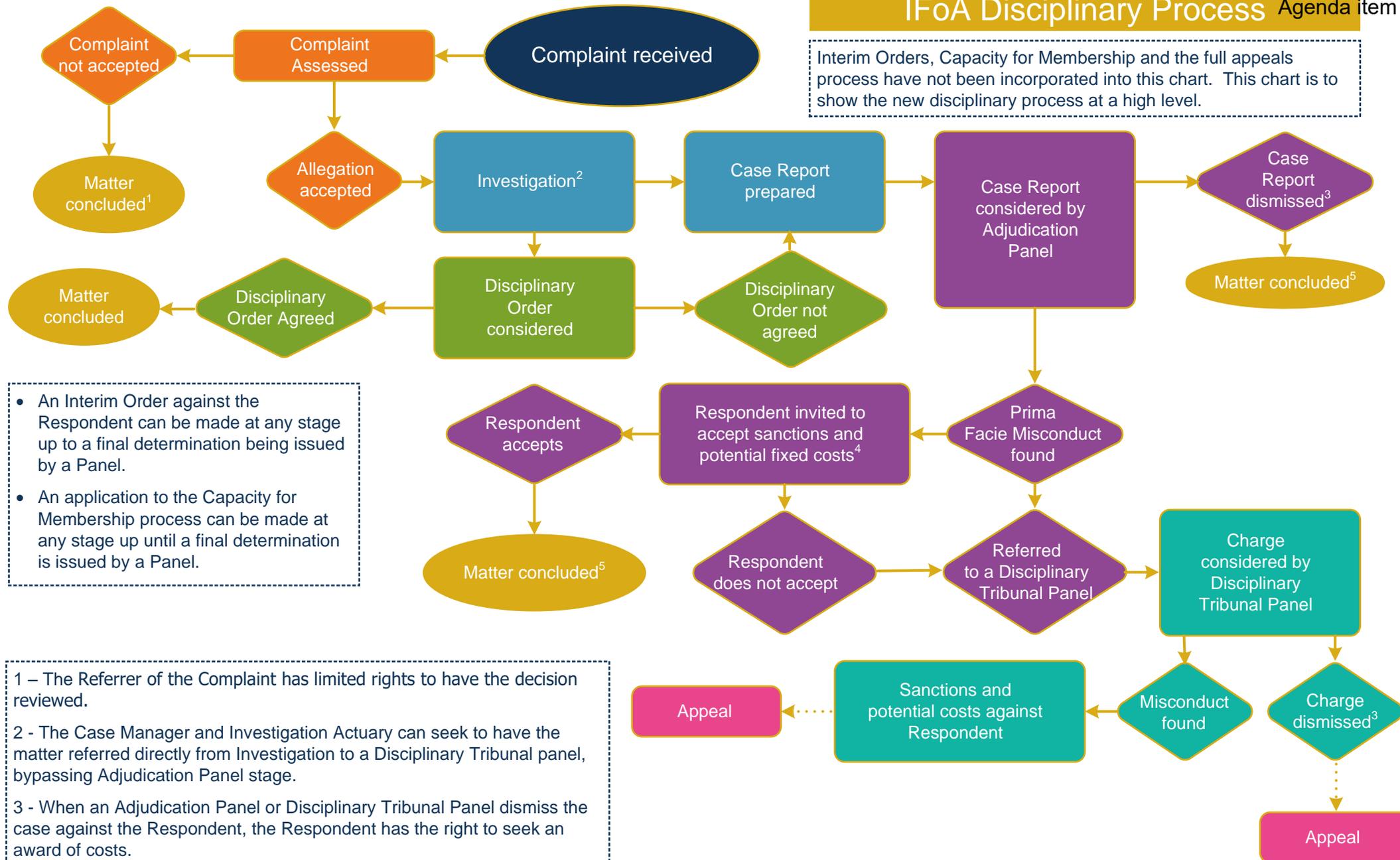


IFoA Disciplinary Process Agenda item 6.1

Interim Orders, Capacity for Membership and the full appeals process have not been incorporated into this chart. This chart is to show the new disciplinary process at a high level.



- An Interim Order against the Respondent can be made at any stage up to a final determination being issued by a Panel.
- An application to the Capacity for Membership process can be made at any stage up until a final determination is issued by a Panel.

- 1 – The Referrer of the Complaint has limited rights to have the decision reviewed.
- 2 - The Case Manager and Investigation Actuary can seek to have the matter referred directly from Investigation to a Disciplinary Tribunal panel, bypassing Adjudication Panel stage.
- 3 - When an Adjudication Panel or Disciplinary Tribunal Panel dismiss the case against the Respondent, the Respondent has the right to seek an award of costs.
- 4 - Adjudication Panels have the power to exclude a former Member.
- 5 - The IFoA has limited right of review to the Independent Reviewer and the referrer of the complaint only has limited right of review where the Case Report is dismissed.