



Regulatory Board

26 April 2022, 10:00-13:30

Meeting held virtually via BlueJeans

Attending:	Neil Buckley, Chair Ben Kemp Sue Lewis Helen Brown Mitesh Soni Edwin Sheaf Melanie Puri Simon Martin Mike Smedley David Broadbent Matt Saker Nicola Williams (new Disciplinary Committee Chair)	Apologies:	Emma Gilpin
Executive Staff:	Leisha Watson (Secretary to the Board) Gina Thomas (note taking) Sarah Borthwick (for item 6) Michael Scott (for item 6) David Gordon (for item 7) Chiara McCormack (for item 7) Alan Marshall (for item 7) Elena McLachlan (for item 8) Stephanie Snowden (for item 9) Alex Theophilus (for item 10) Suzie Lyons (for item 11) Karen Brocklesby (for items 11 and 12) Clifford Friend (for item 12)	Meeting joining details sent separately	
Invitees:	Ian Farr, Chair of the Disciplinary Scheme Review Working Party (for item 6) John Millett, Deputy Board Officer of the Board of Examiners (for item 12)		

Item	Title	Purpose	Papers	Lead	Times
1.	Welcome and Apologies			NB	10:00
2.	Declaration of Conflicts of Interest		-	NB	-
3.	Minutes and Actions				
3.1	To approve the minutes of the Board meeting held on 8 February 2022	Approval	Paper 1	All	-

Item	Title	Purpose	Papers	Lead	Times
	3.2 To note the running actions	Noting	Paper 2	All	-
4.	Update from the Chair				
	4.1 To note the Chair's summary of recent activities	Noting	Paper 3	NB	10:05
5.	Update from the Executive				
	5.1 To note the Executive summary of recent activities	Noting	Paper 4	LW	10:15
FOR DISCUSSION					
6.	Disciplinary Scheme Review				
	6.1 To approve the revised Disciplinary and Capacity for membership Scheme	Approval	Paper 5	SB	10:25
7.	Actuarial Monitoring Scheme Update and PIR				
	7.1 To note an update on progress of the AMS thematic review recommendations and post-implementation review	Noting	Paper 6	DG	11:00
8.	Regulatory action following AMS Thematic Review on Funeral Plans				
	8.1 To provide a steer on proposals following the findings of the AMS Thematic Review report on funeral plan trusts	Steer	Paper 7	EMc	11:30
9.	Review of PC Scheme				
	9.1 To approve confirmation of the final PC Scheme and PC Handbook	Approval	Paper 8	SS	11:55
Break at 12:15 for 5 mins					
10.	Update on Part VII transfers				
	10.1 To note an update on Part VII transfers	Noting	Paper 9	AT	12:20
11.	Review of Part II of the assessment regulations				
	11.1 To note and provide a steer on the final report on Part II of the assessment regulations	Steer	Paper 10	SL/KB	12:35
12.	Board of Examiners annual report				
	12.1 To note and provide a steer on the annual report from the Board of Examiners	Steer	Paper 11	CF	13:00
FOR NOTING ONLY					
13.	For Noting Only				

Item	Title	Purpose	Papers	Lead	Times
	13.1 Signed letter to FRC on climate change and sustainability	Noting	Paper 12	LW	-
	13.2 Professional Support Service Annual Report	Noting	Paper 13	EMc	-
	13.3 DPB Annual Report	Noting	Paper 14	EMc	-
	13.4 Horizon scanning register	Noting	Paper 15	AT	-
-	13.5 Board Leads update	Noting	Paper 16	LW	-
14.	Standing Items				
	14.1 Forward Agenda for 2022/23	Noting	Paper 17	NB	-
	14.2 Board members tenure	Noting	Paper 18	NB	-
15.	AOB		-	NB	13:25
16.	Dates of Future Meetings 2022/23	Noting	-	All	
	5 July 2022 – (1000-1330) Virtual 27 September 2022 (Strategy Day) – in-person, venue (London) and timings TBC 16 November 2022 - (1000-1330) Virtual 2023 meetings being scheduled.		-		