



Disciplinary Committee

24 June 2026, 10:00-13:00 via MS Teams

Attending	David Heath (DH), Chair Jeremy Hill (JH) Robert Garvin (RG) Catherine Boyd (CB) Rosalyn Hayles (RH)
Executive	Alison Simpson (AS), Regulatory Investigations Lead Julia Wanless (JW), Judicial Committees Secretary Martin Campbell (MC), Head of Professional Standards (observer) Jan Whitfield (JW), Head of Governance (observer) Catherine Mouat (CM), Disciplinary Investigations Coordinator (note taker)
Item	Title
1.	Welcome and apologies DH welcomed everyone to the meeting and noted there were no apologies. MC and JW were welcomed to the meeting as observers having recently joined the IFoA.
2.	Declaration of Conflicts of Interest RH and RG declared that they knew, in a professional capacity, a Respondent who provided feedback under agenda item 9. It was agreed this was not seen as a conflict.
3.	Chair's Update DH commented he was pleased to see new members of the Executive in post following a period of uncertainty. DH also advised of meetings and correspondence with two people who had raised issues with the Disciplinary Committee. One was a Referrer who raised procedural points which were extremely helpful and has since been replied to. The other was a former Respondent whose feedback would be discussed under item 9.
4.	Executive Update The Committee noted the Executive Update, which included information on Executive resourcing, FRC oversight, updates from the Regulatory Board, Convener drop-in sessions and the Regulatory Appointments Committee. Action: Executive to share new IFoA structure with the Committee when available.
5.	Minutes and Actions The minutes of the March 2026 meeting were approved. The action sheet was noted and completed actions agreed for closure. Action: Executive to publish minutes of March 2026 meeting.

Item	Title
6.	Objectives update The Committee discussed the paper which provided an end of year review of the Committee's objectives for 2025/2026 and set out proposed objectives for 2025/2026. The Committee noted the good progress made on the 2025/2026 objectives and that this would be reported to the Regulatory Board as part of the Committee's annual report. The Committee discussed and approved the three proposed objectives for 2026/27: • Post-implementation review of the 2023 Disciplinary Scheme. • Monitoring the outcomes of the Exam Integrity Review to establish whether any input is needed from the Disciplinary Committee in the work of the Executive when implementing recommendations from the Review. • Continuing to fulfil the Committee's responsibilities under the Terms of Reference. It was noted that the Executive would update the Committee's workplan accordingly. Action: Executive to update Committee's workplan.
7.	Regulations and Guidance Review At the March 2026 meeting, the Committee noted that all the Disciplinary Scheme Regulations and Guidance documents were scheduled for review at the same time in 2026. It was agreed at the March 2026 meeting that Regulations and Guidance that were not likely to require amendment as part of the post-implementation review would be brought to the June 2026 meeting for review. The remaining Regulations and Guidance, likely to require amendment, would be reviewed as part of the post-implementation review taking place in the second half of 2026. The Regulations and Guidance for review at the June 2026 meeting were shared with the Committee for review ahead of the meeting, resulting in: • Six Regulation documents were approved, subject to minor changes to three of these. • Eleven Guidance documents were approved, subject to minor amendments to four of these. Action: Executive to bring the amended Regulation and Guidance documents to the September meeting for final approval.
8.	Case Update Report AS summarised progress made on the investigation of cases since the March 2026 meeting, as outlined in the report. JW summarised the Panels which have taken place since the last meeting and confirmed the dates of upcoming hearings. The Committee noted the contents of the report and the updates provided.

Item	Title
9.	Feedback This paper provided the Committee with feedback received from Panel members since the March 2026 Committee meeting together with more substantive feedback received from a Respondent. The Committee had no questions on the Panel feedback received. DH provided an update on the correspondence with the Respondent. DH explained that he had reviewed the concerns raised by the Respondent about the handling of his disciplinary case. After investigating the issues and considering advice from the Executive team, he concluded that most of the Respondent's complaints related to the regulatory rules themselves rather than any failure to follow them. He found that the hearings and appeal had been conducted in accordance with the regulations, and therefore there was no case to answer. However, some areas could be reviewed as part of the September review to see whether guidance or processes could be improved. The feedback also highlighted that the current wording of the application process for correction of errors and omissions in determinations may be open to interpretation. As a result, proposals will be brought to the Committee in September to strengthen and clarify the regulations. DH confirmed he has sent a letter to the Respondent setting out the position as above. ACTION: Executive to prepare proposed amendment to Correction of Errors Regulations for the September meeting.
10.	Determinations Review Sub-Committee (DRSC) AS introduced the papers, which summarised the determinations discussed at the June 2026 DRSC meeting and any actions arising. The Committee noted and approved the report and the action list.
13.	Training Plan AS presented the Training Plan paper and noted: - As outlined in the paper, ordinarily a new training plan for 2026/2027 would have been brought to the Committee at this meeting for approval but, due to resourcing restrictions, this has not been possible. The proposal will now be brought to the September meeting. - Panel training is still progressing with Cultural Competency training arranged for September 2026. The Executive will circulate the invitation to the September panel training to the Committee who are welcome to attend if they wish to. - The next Conveners drop-in session was being held this week and the Conveners have been asked to raise the topic of training with the Disciplinary Panel Pool to see if any themes are requested. The Committee had no questions and noted the contents of the paper. ACTION: Executive to circulate invitations for the September training to Committee.

Item	Title
14.	Risk Register AS advised the Committee that no changes had been made to the Risk Register since the March 2026 meeting. The Committee brought up and discussed the potential impact of Artificial Intelligence (AI) on regulatory and disciplinary processes and whether it should be included in the Register. The Committee noted that the IFoA has recently appointed new senior staff in the areas of risk and the expectation that AI-related risks be considered as part of their work. Further the Committee were reassured to know that the issue is on the Regulatory Board's agenda with a discussion planned on developing a framework to assess AI-related risks and opportunities within the regulatory environment. The Committee concluded that although AI is an important emerging issue it is not yet sufficiently developed to be added to the Committee's risk register as a standalone risk and perhaps the Committee's primary role may be to ensure disciplinary panels receive appropriate training on AI related issues. The Committee may revisit the issue at a future meeting if evidence emerges of increasing operational or regulatory impacts, or to ensure alignment with wider organisational risk management. The Committee noted the Risk Register and agreed that no changes were needed.
15.	Matters to raise with Regulatory Board The following matters require to be raised with the Regulatory Board: - the post-implementation Disciplinary Scheme review in September 2026. - the Committee's annual report going to July meeting. ACTION: DH to raise the above with the Regulatory Board
16.	Schedule of Guidance/Regulations Noted.
17.	Schedule of DC term times Noted.
18.	AOB No additional items were raised.

Dates of Next meetings

- Tuesday 15 September 2026
- Tuesday 15 December 2026

Forthcoming Hearings

Details of hearings are published [here](#).