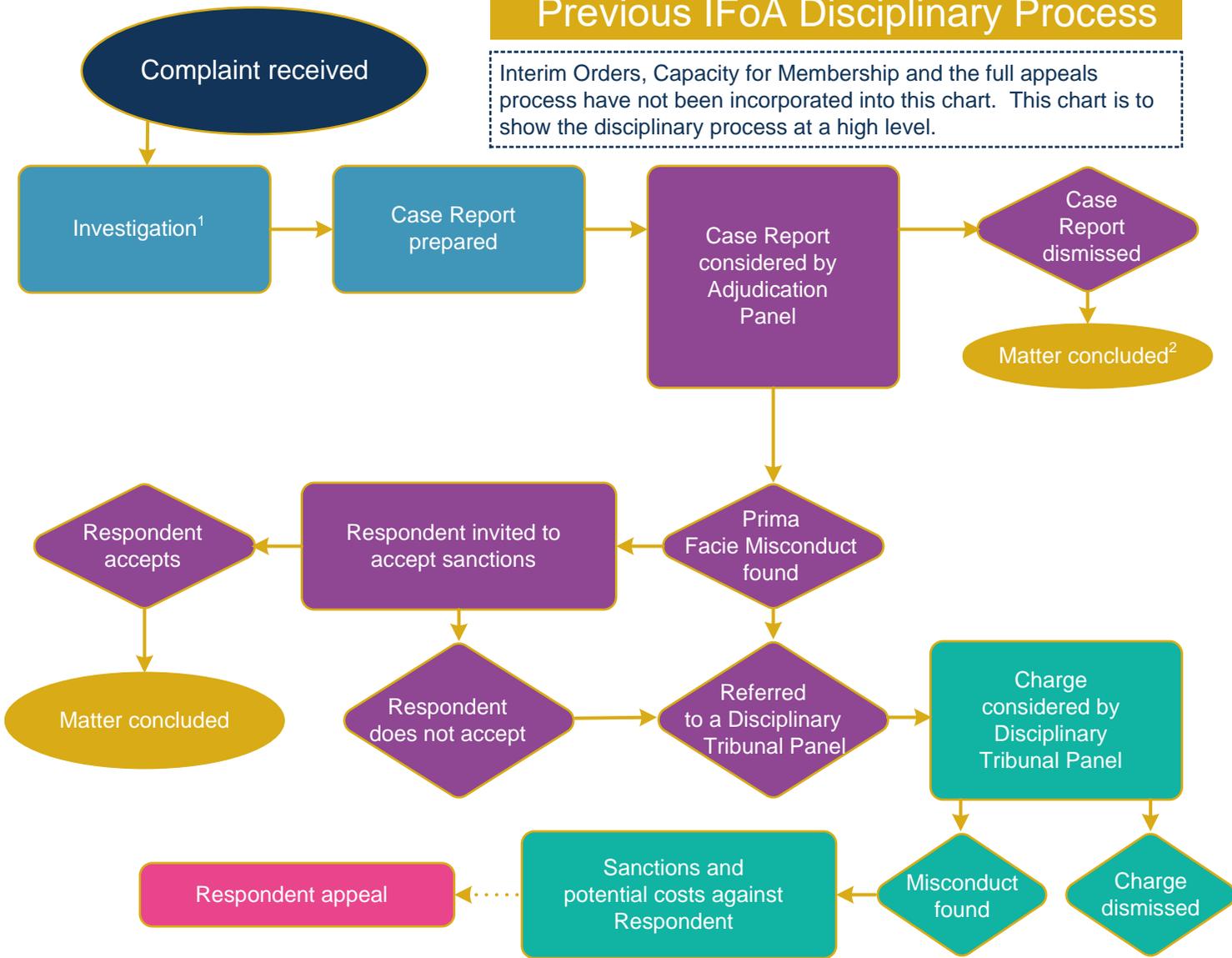


## Previous IFoA Disciplinary Process

Interim Orders, Capacity for Membership and the full appeals process have not been incorporated into this chart. This chart is to show the disciplinary process at a high level.



- An Interim Order against the Respondent can be made at any stage up to a final determination being issued by a Panel.
- An application to the Capacity for Membership process can be made at any stage up until a final determination is issued by a Panel.

1 - The Case Manager and Investigation Actuary can seek to have the matter referred directly from Investigation to a Disciplinary Tribunal panel, bypassing Adjudication Panel stage.

2 - The IFoA has limited right of review to the Independent Reviewer and the referrer of the complaint has limited right of review where the Case Report is dismissed.