

Disciplinary Committee objectives - 1 June 2024 to 31 May 2025

As at year end 31 May 2025

These are in addition to the 'business as usual' Committee responsibilities. See terms of reference.

Objective	When	Status as at year end
1. Review of the Disciplinary Scheme	Sept 2024 (and Sept 2025 – next objective year)	COMPLETE – interim review of the Scheme took place at the in-person September 2024 Committee meeting. A full post-implementation review is scheduled to take place in 2025/2026.
(a) Considering feedback	Ongoing	<p>COMPLETE - the Committee considered a quarterly feedback report at each Committee meeting. This feedback was obtained from Respondents, Referrers and Panel Members.</p> <p>At the September 2024 meeting, as part of the interim review of the Scheme, the Committee considered feedback on the Scheme (obtained from pulse survey) from stakeholders including the Executive.</p> <p>The DRSC regularly review all determinations which would allow issues to be identified.</p> <p>Will continue to be business as usual function for the Committee.</p>
(b) Assessing whether any changes are needed	Ongoing	<p>COMPLETE - Considered on ongoing basis using feedback from all users.</p> <p>At the September 2024 meeting the Committee considered whether any suggested improvements/actions needed in relation to the Scheme and the Timeframes Guidance and Assessment of Complaints Regulations were subsequently updated.</p> <p>A Committee member also carried out a file review, which they reported on at the March 2025 meeting and no changes were identified.</p> <p>Amendments to the Case Report and Adjudication Panels Regulations were approved at the March 2025 meeting and updated regulations published in April 2025.</p>
(c) Monitoring and identifying risks	Ongoing	<p>COMPLETE - At the September 2024 meeting the Committee considered whether there are any barriers to conduct being reported to the IFoA and whether there any associated risks that should be added to the Risk Register. Further updates have been made to the Risk Register to reflect official delegations in place under the Scheme.</p> <p>Risk register reviewed against new operational plan for IFoA.</p>

Objective	When	Status as at year end
2. Continuation of embedding DEI strategy into the work of the Committee	Ongoing	Ongoing – see DEI Action Plan.
(a) Monitoring the impact of the proposed DEI changes to the Actuaries' Code	TBC	Final consultation period on code ended on 14 May. Awaiting confirmation of the final code changes – which should be effective in August 2025.

Objective	When	Status as at year end
3. Development of a complaints statement setting out what the Committee can and cannot consider	By end May 2025	COMPLETE. Published in March 2025.

Objective	When	Status as at year end.
4. Consideration of how the IFoA's aim of being a global body may impact on the disciplinary process	Ongoing	<p>ONGOING - This objective had been put on hold in 2024 after Sarah Borthwick was seconded to the Regulatory Board Secretary role.</p> <p>The Committee considered at paper at their March 2025 meeting and further research has been undertaken which will be considered at the June 2025 meeting.</p> <p>It is proposed that this objective is continued as an objective for 2025/26.</p>