



# Agenda

## DPB Board

30 March 2021, Time 10:30 – 12:45

All via Video Conference

<b>Attending:</b> James Smith, Chair (JS) Andrew Allsopp (AA) Alan Kershaw (AK) Darius Mayhew (DM) John Birkenhead (JB) Tim Russell (TR)	<b>Executive Staff:</b> Judith Joy (JJ) Gina Thomas (GT)
<b>Apologies:</b>	<b>Invitees:</b> N/A

Item	Title	Papers	Pages	Lead	Timings
1.	<b>Welcome and minutes</b>			JS	10:30
1.1	To approve minutes of December 2020	1	3-7		
1.2	To approve redacted agenda and minutes of December 2020 for publication	2	8-13		
1.3	To review live action list	3	14-15		
2.	<b>Standing items</b>			JS	10:40
	To declare any conflicts of interest	4	16-17		
	To discuss the DPB Board Work Plan	5	18-19		
	To discuss the DPB Board Risk Register				
	To discuss need for all firms communication				
3.	<b>Firm specific matters</b>			JS	11:00
	To note developments, and matters arising since the last meeting.	6	20		
4.	<b>Monitoring</b>			JJ	11:05
	To note update on process for submission of Annual Returns	7	21-23		
	To approve the fees for 2021				
	To note an update on the QAD assessment visits				
5.	<b>Webinar</b>			JS/JJ	11:20
	To confirm agenda for the webinar on 13 May 2021	8	24-28		
6.	<b>Confidential matter</b>			JJ	12:00
	To note update	9	29-40		



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7.	<b>Confidential matter</b>			JJ	12:20
	To note update	<b>Verbal</b>			
8.	<b>Regulation Round-up</b>			JS	12:25
	To note and discuss	<b>10</b>	<b>41-82</b>		
9.	<b>Regulation Board Report</b>			JJ	12:35
	To note report from Regulation Board meeting	<b>11</b>	<b>83-88</b>		
10.	<b>AOB</b>			JS	12:40
	Next meeting: 26 May 2021				

REDACTED