



# Agenda

## DPB Board

01 December 2020, Time 10.30 – 13:00

All via Video Conference

|                                                                                                                                                                     |                                                                                                 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|
| <p><b>Attending:</b> James Smith, Chair (JS)<br/>Andrew Allsopp (AA)<br/>Alan Kershaw (AK)<br/>Darius Mayhew (DM)<br/>John Birkenhead (JB)<br/>Tim Russell (TR)</p> | <p><b>Executive Staff:</b> Judith Joy (JJ)<br/>Gina Thomas (GT)</p> <p><b>Invitees:</b> N/A</p> |
| <p><b>Apologies:</b></p>                                                                                                                                            |                                                                                                 |

| Item | Title                                                                    | Papers | Pages | Lead  | Timings |
|------|--------------------------------------------------------------------------|--------|-------|-------|---------|
| 1.   | <b>Welcome and minutes</b>                                               |        |       | JS    | 10:30   |
| 1.1  | To approve minutes of September 2020                                     | 1      | 3-7   |       |         |
| 1.2  | To approve redacted agenda and minutes of September 2020 for publication | 2      | 8-12  |       |         |
| 1.3  | To review live action list                                               | 3      | 13    |       |         |
| 2.   | <b>Standing items</b>                                                    |        |       | JS    | 10:40   |
|      | To declare any conflicts of interest                                     | 4      | 19    |       |         |
|      | To discuss the DPB Board Work Plan                                       | 5      | 22    |       |         |
|      | To discuss the DPB Board Work Plan 2021                                  | 6      | 24    |       |         |
| 3.   | <b>Firm specific matters</b>                                             |        |       | JS    | 11:00   |
|      | To note developments, and matters arising since the last meeting.        | 7      | 27    |       |         |
| 4.   | <b>Board Succession</b>                                                  |        |       | JJ    | 11:05   |
|      | To note update on plans around succession of Board membership.           |        |       |       |         |
| 5.   | <b>Seminar</b>                                                           |        |       | JS/JJ | 11:15   |
|      | To discuss plans for Seminar in 2021.                                    | 8      | 28-29 |       |         |
| 6.   | <b>Monitoring by ICAEW QAD</b>                                           |        |       | JS/AA | 11:45   |
|      | To discuss the remote visit.                                             | 9      | 30    |       |         |



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| Item | Title                                                              | Papers | Pages | Lead  | Timings |
|------|--------------------------------------------------------------------|--------|-------|-------|---------|
| 7.   | <b>Split of responsibilities between Executive and Board</b>       |        |       | JJ    | 12:00   |
|      | To discuss proposed split of responsibilities.                     | 10     | 31-35 |       |         |
| 8.   | <b>Confidential matter</b>                                         |        |       | JJ    | 12:10   |
|      | To note update.                                                    | Verbal |       |       |         |
| 9.   | <b>Annual Return</b>                                               |        |       | JJ    | 12:20   |
|      | To note update on launch of Return                                 | 11     | 36-54 |       |         |
| 10.  | <b>Annual Report to Regulation Board</b>                           |        |       | JS/JJ | 12:30   |
|      | To note Annual Report provided to Regulation Board on 17 November. | 12     | 55-59 |       |         |
| 11.  | <b>Regulation Round-up</b>                                         |        |       | JS    | 12:40   |
|      | To note and discuss                                                | 13     | 60-91 |       |         |
| 12.  | <b>AOB</b>                                                         |        |       | JS    | 12:45   |
|      | Next meeting: TBC                                                  |        |       |       |         |