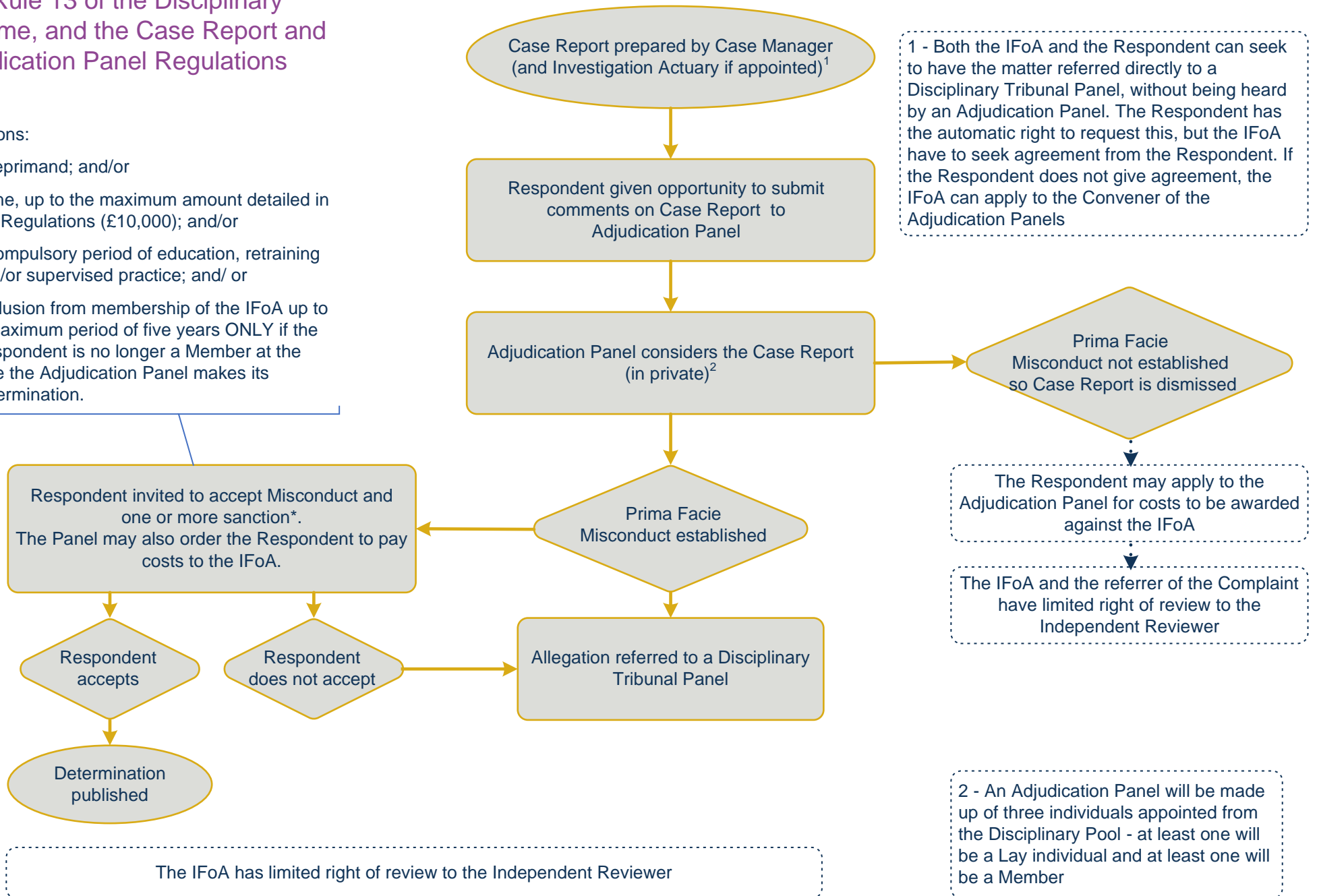


Case Report and Adjudication Panels

See Rule 13 of the Disciplinary Scheme, and the Case Report and Adjudication Panel Regulations

*Sanctions:

- A reprimand; and/or
- a fine, up to the maximum amount detailed in the Regulations (£10,000); and/or
- a compulsory period of education, retraining and/or supervised practice; and/ or
- exclusion from membership of the IFoA up to a maximum period of five years ONLY if the Respondent is no longer a Member at the time the Adjudication Panel makes its determination.



1 - Both the IFoA and the Respondent can seek to have the matter referred directly to a Disciplinary Tribunal Panel, without being heard by an Adjudication Panel. The Respondent has the automatic right to request this, but the IFoA have to seek agreement from the Respondent. If the Respondent does not give agreement, the IFoA can apply to the Convener of the Adjudication Panels

The Respondent may apply to the Adjudication Panel for costs to be awarded against the IFoA

The IFoA and the referrer of the Complaint have limited right of review to the Independent Reviewer

2 - An Adjudication Panel will be made up of three individuals appointed from the Disciplinary Pool - at least one will be a Lay individual and at least one will be a Member

The IFoA has limited right of review to the Independent Reviewer